# Surveillance after endoscopic excision of pT1 malignant polyp. Is that different? R. Jover/M.Pellisé



**WEO** The voice of world endoscopy





# Early colorectal cancer



Screening year





90% can have a complete endoscopic treatment



# SURGICAL TREATMENT

Theodore R. Levin et al Gastroenterology 2018 Toes Zoutendijk et al. Gut 2017



1. Is that different?

# Disease free Survival in relation with staging







# 2. Is that different?

9

no

# So many different options for resection

- Endoscopic mucosal resection (EMR)
- Endoscopic submucosal dissection (ESD & EID)
- Endoscopic full thickness resection (eFTR)
- Transanal minimal invasive surgery (TAMIS)
- Colonoscopy assisted laparoscopic limited wedge excision
- Major Oncological Surgery/segmental colectomy

Piecemeal for > 20mm En bloc for all Transmural for < 15mm Transmural for rectum Transmural for all

Transmural + LNM



# Local treatment CURATIVE if:

### **1.** Radicality $\rightarrow$ *en bloc* **R0** resection

### **2. Absence of high risk features:**

- ✓ Poor tumor-differentiation
- ✓ Lymphovascular invasion
- $\checkmark$  Intense tumor budding (grade 2-3)
- ✓ Deep submucosal invasion















## **Risk factors of LNM according to international guidelines**

	Linfovascular Invasion	Degree of differentiation	Submucosal invasion	<b>Resection Margin</b>	Tumoral Budding
JSCCR 2019	Yes	Poorly differentiated, signet-ring or mucinous adenocarcinoma	>1000 µm (T1b)	Yes: Positive vertical margin**	Budding grade 2/3
NCCN 2021	Yes	<b>Poorly differentiated</b>	Not described	Yes: Positive Type unspecified***	Suggested
ESMO 2020	Yes	<b>Poorly differentiated</b>	Haggit 4 (pedunculated) No clear recommendation in sessile and flat lesions	No risk*	Budding grade 2/3
ESGE-ESDO 2019	Yes	<b>Poorly differentiated</b>	≥ 1000 µm Haggit 4 in pedunculated SM2-3 non pedunculated	Yes Positive margin (<1mm) or cannot be assesed	Intense tumor budding (unspecified grade)
<b>ASGE 2020</b>	Yes	Poorly differentiated	>1000 µm in non pedunculated No risk in pedunculated	Yes: - Positive margin in non pedunculated - <1mm in pedunculated	Yes: - Unspecified grade - Only in non pedunculated

\*Positive resection margin (<1mm) is considerer only a risk for local recurrence in ESMO guideline. It is recommended management by excision repetition or local surveillance \*\* Positive vertical margin is defined as carcinoma exposed at the submucosal margin of the resected specimen by JSCCR guideline \*\*\*NCCN guidelines provides multiple definitions for a positive margin of resection, without leaning to a specific definition



# Recurrence and cancer-specific mortality after endoscopic resection meta-analysis

Endoscopically resected without complementary surgery and with ≥12 months of follow-up

7 studies, 650 patients

Low risk:

**Recurrence: 1.2%** (0.6-2.5%)

**Cancer-specific mortality: 0.6%** (0.2-1.7%)

5 studies, 571 patients

High risk:

**Recurrence: 9.5%** (6.7-13.3%)

**Cancer-specific mortality: 3.8%** (2.4-5.4%)

Antonelli G et al. GIE 2019



36 studies, 1499 patients

Low risk:

**Recurrence: 0.7%** (0.4-1.2%)

**Cancer-specific mortality: 0.1%** (0.0-0.7%)

Recurrence: 10 patients; 6 intraluminal/4 distant (2 misclassified as low risk)

High risk:

28 studies, 1023 patients

**Recurrence: 7.0%** (4.9%-9.9%)

**Cancer-specific mortality: 4.5%** (3.2-6.3%)

Dang H. Et al CGH 2022





# **Risk factors for any recurrence**

	✓ not-Ro: Bd2-3: LVI: Grade3: Deep i	nvasion						
<ul> <li>✓ Female</li> <li>✓ Rectal location</li> </ul>								
<ul> <li>Non-pedunculated</li> <li>Piecemeal EMR</li> </ul>	Pooled estimates of any CRC recurrence, % (95% CI; number of studies included in subgroup analyses)							
	Lower risk —————————	> Higher risk						
Patient characteristics Gender	Males: 1.6 (0.4–6.3; 6 studies)	Females: 4.4 (2.5–7.6; 5 studies)						
Tumor characteristics Location Morphology	Colon: 0.8 (0.2–2.8; 11 studies) lp: 1.0 (0.1–7.2; 9 studies)	Rectum: 5.7 (2.0–15.2; 11 studies) Non-Ip: 6.1 (3.5–10.5; 13 studies)						
Endoscopic resection En bloc vs piecemeal Endoscopic resection technique used	En bloc: 1.0 (0.4–2.1; 11 studies) ESD: 1.8 (0.7–4.1; 12 studies)	Piecemeal: 4.8 (2.3–9.7; 5 studies) EMR: 4.5 (1.6–11.6; 8 studies)						
	Snaring: 2.7 (1.9-3 eFTR: 2.7 (0.7-1	3.9; 21 studies) 0.0; 2 studies)						
Histology Overall risk status (not stratified on number of JSCCR criteria used) Margin status Tumor budding grade Lymphovascular invasion Differentiation grade Invasion depth	Low-risk T1 CRC: 0.7 (0.4–1.2; 36 studies) R0: 1.2 (0.4–3.5; 26 studies) Bd1: 2.6 (1.1–6.0; 7 studies) Absent: 1.4 (0.7–3.0; 25 studies) Grade 1-2: 2.3 (1.4–3.7; 28 studies) Superficial: 1.2 (0.5–3.1; 20 studies)	High-risk T1 CRC: 7.0 (4.9–9.9; 28 studies) Not-R0: 11.2 (4.9–23.4; 10 studies) $\geq$ Bd2: 7.3 (2.8–17.8; 3 studies) Present: 4.2 (0.6–24.6; 8 studies) Grade 3: 19.8 (7.9–41.3; 4 studies) Deep: 8.5 (5.7–12.5; 11 studies)						





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# Surveillance of pT1 polyps

- **No RCT published** (ongoing: LOCAL trial in Netherlands; EPOS IV)  $\diamond$
- No study specifically directed to address surveillance  $\diamond$
- Indirect data that addresses prognosis of pT1  $\diamondsuit$ 
  - Heterogeneity and deficiencies in reporting histology  $\checkmark$
  - Heterogeneity and deficiencies in reporting endoscopic data  $\checkmark$
  - **Surgical series**  $\checkmark$
- $\diamond$ **Different definitions for Risk**
- **Only specific subgroup analysis**  $\diamond$
- $\diamond$ **Different outcomes measures** 
  - None uses luminal recurrence as an specific end-point  $\checkmark$







# **Surveillance T1Nx/0 Low-Risk**



## In patients with a low-risk pT1 CRC treated by endoscopy with an R0 resection, we suggest the same surveillance schedule as for any CRC. (Weak recommendation, Low quality of evidence)



😤 Thieme







# Surveillance after resection of local CRC Stage II and III

#### 80% of relapses occur during the first 3 years and an additional 15% between the 3rd and 5th year

#### **ESMO 2020**

	3	6	9	12	15	18	21	24	27	30	33	36	42	48	54	60
CEA	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	x
Colonoscopy				X								X				
High risk Abdominal/chest CT scan		(x)		X		(x)		X		(x)		X				

#### ASCO/NCCN/ASCRS/UK

Table 3 PL	ublished Colorectal Cance	r Surveillance Guidelines																	
	History and Physical	CT (Chest/Abdomen/Pelvis)	CEA	Colonoscopy		3 m	6 m	9 m	12m	15m	18m	21m	24m	30m	36m	42m	48m	54m	60
<b>ASCO</b> (stage II/III)	Every 3–6 mos × 3 yrs; every 6 mos at years 4 and 5	Annually $ imes$ 3 yrs if high risk	Every 3 mos for at least 3 yrs	At 3 yrs and then every 5 yrs thereafter	Physical exam &	& X	X	x	X	x	x	x	X	X	X	x	х	<b>x</b> :	x
NCCN (stage I–III)	Every 3–6 mos × 2 yrs; every 6 mos in years 3–5	Annually for up to 5 yrs, especially if high risk	Every 3–6 mos × 2 yrs; every 6 mos in yrs 3–5	At years 1 and 4, then every 5 yrs	symptoms CEA	x	X	x	x	x	x	x	X	x	x	x	x	x	x
ASCRS (stage I–III)	At least every 4 mos for 2 yrs	None	At least every 4 mos for 2 yrs	Every 3 yrs	CT chest abd	&			x						x			;	x
UK (stage I–III)	None	CT of abdomen and pelvis only, once within 2 yrs	None	Every 5 yrs	Colonoscopy	1			x								x		
ASCO America	n Cociety of Clinical Oncology, ASCDS	Amorican Society of Colon and Boctal C	ancor Surgeone CEA coreine embryon	ic antigen, NCCN – National															

ASCO = American Society of Clinical Oncology; ASCRS = American Society of Colon and Rectal Cancer Surgeons; CEA = Carcinoembryonic antigen; NCCN = Nation; Comprehensive Cancer Network; UK = United Kingdom 2010 guidelines.





#### **Clinic Hospital**

years





#### Intensive follow-up strategies improve outcomes in nonmetastatic colorectal cancer patients after curative surgery: a systematic review and meta-analysis

S. Pita-Fernández<sup>1,2\*</sup>, M. Alhayek-Aí<sup>1</sup>, C. González-Martín<sup>2</sup>, B. López-Calviño<sup>1,2</sup>, T. Seoane-Pillado<sup>1,2</sup> & S. Pértega-Díaz<sup>1,2</sup>

Annals of oncology 2015

#### **Overall survival rate after** curative resection of colorectal cancer

Hazard Ratio HR 95% CI Weight Intensive follow-up vs. Less follow-up Makela (1995) 0.83 (0.48; 1.45) 6.1% Kjeldsen (1997) 0.90 (0.68; 1.20) 22.9% Schoemaker (1998) (0.46; 1.03) 0.69 11.9% Pietra (1998) 0.57 (0.35; 0.92) 8.3% Watchow (2006) 1.20 (0.48; 2.98) 2.3% Rodriguez (2006) 0.87 (0.49; 1.54) 5.7% (0.46; 1.08) 10.6% Ting (2009) 0.71 Primrose (2014) 1.00 (0.65; 1.55) 9.9% (0.69; 0.94) 77.7% Random effects 0.81 Heterogeneity test: Q=5.3, df=7, P=0.624 Intensive follow-up vs. No follow-up Ohlsson (1995) 0.69 (0.36; 1.33) 4.4% Secco (2002) 0.57 (0.41; 0.79) 17.9% -Random effects 0.59 (0.44; 0.79) 22.3% Heterogeneity test: Q=0.3, df=1, P=0.6136 Random effects 0.75 (0.66; 0.86) 100% Heterogeneity test: Q=8.9, df=9, P=0.4461 0.2 0.5 2 3 1 Hazard Ratio В 95% CI HR Omitting Ohlsson (1995) 0.76 (0.65; 0.88) + Omitting Makela (1995) 0.75 (0.64; 0.87) + Omitting Kjeldsen (1997) 0.71 (0.61; 0.84) . Omitting Schoemaker (1998) 0.76 (0.65; 0.89) ----0.77 (0.67; 0.89) Omitting Pietra (1998) + Omitting Secco (2002) 0.80 (0.69; 0.93) -Omitting Watchow (2006) 0.75 (0.65; 0.86) + Omitting Rodriguez (2006) 0.75 (0.64; 0.87) + Omitting Ting (2009) 0.76 (0.65; 0.89) + Omitting Primrose (2014) 0.73 (0.63; 0.84) Random effects 0.75 (0.66; 0.86) 0.2 0.5 2 3 Relative risk С RR 95% CI Weight Intensive follow-up vs. Less follow-up Kjeldsen (1997) 0.99 (0.74; 1.34) 43.0% Grossmann (2004) 1.20 (0.63; 2.31) 9.0% 0.77 (0.51; 1.17) Ting (2009) 21.9% Primrose (2014) 0.96 (0.58; 1.59) 15.1% 0.95 (0.77; 1.16) 89.0% Random effects Heterogeneity test: Q=1.5, df=3, P=0.6736 Intensive follow-up vs. No follow-up Ohlsson (1995) 0.64 (0.35; 1.15) 11.0% Random effects 0.64 (0.35; 1.15) 11.0% Heterogeneity test: Q=0, df=0, P=1 Random effects 0.91 (0.74; 1.10) 100% Heterogeneity test: Q=3.1, df=4, P=0.544 0.5 1 2 3 0.2

#### **Cancer-specific** survival



#### More intensive follow-up =

- + overall survival rate
- + probability of detecting asymptomatic recurrences
- + curative surgery attempted at recurrences
- shorter recurrence detection time

Not associated with a greater detection of total recurrences, or a decrease in mortality related to disease, even though there is a trend toward a protective effect

4.8%
14.0%
12.3%
12.8%
10.7%
6.3%
2.2%
6.5%
69.5%

Weight

95% CI

RR

Relative risk

3	3.5	5%	6
27	7.(	)%	6
30	).{	5%	6

#### Weight

1	9	.6	59	6
1	9	.0	19	6
	7	.4	9	6
	7	.3	19	6
1	3	.2	!9	6
6	6	.5	19	6

9.	2	%
24.	3	%
33.	5	%







100%

#### Effect of More vs Less Frequent Follow-up Testing on Overall and Colorectal Cancer–Specific Mortality in Patients With Stage II or III Colorectal Cancer: The COLOFOL Randomized Clinical Trial

JAMA. 2018;319(20):2095-2103. doi:10.1001/jama.2018.5623



#### Overall Mortality by Time From Colorectal Cancer Surgery

Cancer specific Mortality by Time From Colorectal **Cancer Surgery** 

Colorectal cancer-specific recurrence was detected earlier, but this did not translate into a reduced mortality rate.



**High-frequency group:** multislice contrast-enhanced CT of the thorax and abdomen and CEA at: 6, 12, 18, 24, and 36 months after surgery.

**Low-frequency group:** multislice contrast-enhanced CT of the thorax and abdomen and CEA at: 12 and 36 months after surgery.

No significant rate reduction in 5-year overall mortality or colorectal cancer-specific mortality



## Secondary Outcome of Colorectal **Cancer–Specific Recurrence**





# 4. Is that different?







# Intensive surveillance after High Risk pT1 that do not undergo surgery

Rectum	6	12	18	24	30	36	42	48	54	60	
<u>Scar</u> surveillance	Х	X	Х	X		X		Х		X	
MRI rectum	Х	Х	Х	Х	1	Х		Х		Х	C
CEA	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	
Full colonoscopy		Х			0			Х			

Colon	6	12	18	24	30	36	42	48	54	60
Scar surveillance	Х	Х		X		Х		X		Х
CT thorax- abdomen		Х		Х		Х		Х		X
CEA	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х
Full colonoscopy		Х						Х		



Dutch pT1CRC Working group





have LNM





Overwater A. Gut 2018; Ozawa T. Gastroenterology 2018; Richards CH. Gut 2018; Backes Y. Gastroenter Jen-Hao Yeh et al. Clin Gastroent

With current clinicopathological criteria: 38-77% of **T1 CRC patients are classified as high-risk:** 



## have residual tumor Overtreatment **Over surveillance**





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## 3161 pT1 CRC

# RECURRENCE 3.54%

(112/3161)

Ganglios Linfaticos regionales

Recurrencia local o endoluminal

Recurrencia extraluminal

Metastasis a distantica

Ganglios Linfaticos a distancia

1.01% Metachony (32/3161)

0.7% Endoluminal recurrence 22/3161





# Why different?

- ✓ Survival 95% irrespective of treatment modality
- ✓ Two very different situations: low vs. high risk
- $\checkmark$  Distant recurrences in 5% of cases, only if high-risk features and with bad prognosis
- ✓ Local treatment in 50% of cases at least: wide variability in type of treatment
- High risk criteria can be refined. OVERTREATMENT

# R0 & good prognosis don't need oncological follow-up

## Many open questions for the rest









# World Endoscopy Organization

